



USPTO

2003 Budget and Business Plan

Clarence C. Crawford



Fiscal Year 2003 Business Plan



Purpose of Business Plan

- ◆ Responds to OMB and Hill direction to define requirements to improve pendency and quality
- ◆ Responds to Department of Commerce direction to establish the USPTO as the world intellectual property leader without regard to current fee schedule and income
- ◆ Supports President's Management Agenda to include e-Government, outsourcing, and workforce restructuring
- ◆ Puts focus back on critical mission requirements – examination and dissemination functions
- ◆ Establishes two simple goals:
 - Enhance the quality of USPTO products and services
 - Minimize application processing time
- ◆ Identifies initiatives and associated funding requirements over next 5 years in support of goals achievement



Patent Targets and Initiatives in Support of Business Plan Goals

Targets

Quality:

- ◆ Improve quality of patents by 55% through reducing the error rate from 6.6% to 3% by FY 2004
- ◆ Increase overall customer satisfaction from 64% to 80% by FY 2006
Will develop a quality index that will incorporate a number of metrics to achieve a balanced measure of quality

Timeliness:

- ◆ Reduce average first action pendency to 12 months by FY 2006
- ◆ Reduce average total pendency to 26 months by FY 2006



Patent Targets and Initiatives in Support of Business Plan Goals

Initiatives

Quality

- ◆ Reexamination Process Enhancement
- ◆ Enhanced Patentability Review
- ◆ Process Reengineering and Improvement
- ◆ Search Tool Enhancements
- ◆ E-Government Implementation

Timeliness:

- ◆ Increase Examiner Staff
- ◆ Customer Choice in Processing Time
- ◆ Recruitment and Retention
- ◆ Productivity Incentives and Accelerated Career Track
- ◆ Outsource Search Functions related to PCT Chapter 1 Processing
- ◆ Outsource Patent Data Classification
- ◆ Workload Rebalancing



Trademark Targets and Initiatives in Support of Business Plan Goals

Targets

Quality:

- ◆ Reduce the error rate from 6% to 3% by FY 2004
- ◆ Increase overall customer satisfaction from 70% to 80% by FY 2005

Will develop a quality index that will incorporate a number of metrics to achieve a balanced measure of quality

Timeliness:

- ◆ Reduce average first action pendency to 2 months by FY 2004
- ◆ Reduce average total pendency to 12 months by FY 2006



Trademark Targets and Initiatives in Support of Business Plan Goals

Initiatives

Quality:

- ◆ Quality Review Program
- ◆ Comprehensive Customer Relationship Management System
- ◆ Peer-to-Peer Program
- ◆ Madrid Protocol Processing

Timeliness:

- ◆ E-Government Implementation
- ◆ Production Incentive Award Program
- ◆ Workforce Flexibility



e-Government

- ◆ **Complete Trademark's transformation to a full electronic operation**
 - Receive and examine 80% of all new applications electronically by FY 2003
 - Deliver Trademark Information System (TIS) by FY 2004 thus allowing transformation to a fully electronic file management system and eliminating the need for paper-based records resulting in an official electronic record
- ◆ **Move aggressively to transform the Patent Business to electronic processing**
 - Deploy TEAM in FY 2004. TEAM will provide the capability to electronically process new patent applications
 - USPTO will realize an estimated cost avoidance of about \$118 million over a six-year period resulting in an ROI of 18%.



Workload and Staffing

	2000	2001	2002	2003	2004	2005	2006	2007
EOY Examiner Staff								
Patents	2,905	2,983	3,435	3,991	4,495	4,950	5,362	5,735
Trademarks	383	393	353	321	338	362	395	433
Applications Filed								
Patents	293,244	335,000	367,800	404,600	445,100	489,600	538,600	592,500
Growth Rate	12%	12%	12%	10%	10%	10%	10%	10%
Trademarks	375,428	300,000	300,000	330,000	363,000	399,000	439,000	483,000
Growth Rate	27%	-20%	0%	10%	10%	10%	10%	10%
Total Patent Production								
Patents Granted	165,504	166,077	166,500	182,471	217,225	257,870	288,282	313,679
Disposals	234,344	236,911	238,840	286,015	338,930	403,122	419,556	481,024
First Office Actions	237,422	226,997	280,896	312,482	397,870	414,482	476,113	490,202
Inventory on Hand EOY	256,520	364,523	451,428	503,085	505,805	531,983	540,591	583,639
Total Trademark Production								
Trademarks Registered	127,794	130,000	123,000	138,600	156,100	175,600	197,600	217,400
Applications Abandoned	101,099	114,200	96,000	101,900	109,500	118,100	128,800	141,500
Inventory on Hand EOY	145,000	20,000	62,000	68,000	45,000	50,000	55,000	60,000



Funding Requirements and Fee Income

	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007
TOTAL FEES					
Total Fee Income	1,315,050,664	1,543,070,527	1,753,688,756	1,984,782,232	2,179,869,477
Total Requirements	1,481,715,062	1,554,270,991	1,661,788,706	1,763,975,404	1,865,007,912
Surplus/(Deficit)	(\$166,664,398)	(\$11,200,464)	\$91,900,050	\$220,806,828	\$314,861,565
PATENT FEES					
Patent Fee Income	1,137,398,987	1,347,998,743	1,535,603,863	1,743,208,346	1,911,875,983
Patent Requirements	1,297,162,158	1,366,330,895	1,463,416,632	1,559,267,678	1,655,468,132
Surplus/(Deficit)	(\$159,763,171)	(\$18,332,152)	\$72,187,231	\$183,940,668	\$256,407,851
TRADEMARK FEES					
Trademark Fee Income	177,651,677	195,071,784	218,084,893	241,573,886	267,993,494
Trademark Requirements	184,552,904	187,940,096	198,372,074	204,707,726	209,539,780
Surplus/(Deficit)	(\$6,901,227)	\$7,131,688	\$19,712,819	\$36,866,160	\$58,453,714



Funding Strategy

- ◆ Adjust fees to generate a 13% increase in fee collections beginning in FY 2003
- ◆ Requires legislation and/or regulations. Could include the following:
 - Develop legislation with specific fees to support Business Plan, including additional fee for processing paper applications, separate search and examination fee, fee for additional claims, expedited processing, etc.
 - Increase patent and/or trademark fee amounts in excess of the CPI to ensure stable funding to carry out proposed initiatives



Challenges

- ◆ Economic Uncertainty
- ◆ Volatility in Demand for Products and Services
- ◆ Unanticipated Legislative Mandates Beyond Current Known Legislation (e.g., Madrid Protocol, Reexamination)
- ◆ Recruitment and Retention
- ◆ Management
 - Under Secretary nominated but not confirmed
 - Need adequate and stable funding to implement the Business Plan



Trademark Business Plan 2003 Budget

Anne H. Chasser



Business Plan

Strategies and Targets

- Response to the House and Senate Committee Reports.
- Basis for the FY 2003 Budget Request.
- Provides the “blueprint” for the next 5 years.



Trademark Strategy

■ Incorporate e-Government into our Process

Adopt a single business approach for serving our customers; provide access to trademark information electronically, increase use of electronic filing; transition from paper to electronic processing and delivery.



Trademark Strategy

■ e-Government Results

Customers may file and access trademark information through the Internet.

- E-TEAS
- TMOG
- TESS
- TARR



Trademark Strategy

■ e-Government Results

Information technology has improved the effectiveness, efficiency and delivery of trademark products and services.

- X-Search
- TICRS
- Tradeups
- TIPS



Trademark Business Goals

- **Enhance the Quality of our Products/Services**

High quality, consistent legal examination;
convenient access, correct and timely service.

- **Reduce Processing Time**

Capture data electronically; streamline examination.
Provide incentives to achieve goals.



Trademark Business Plan Initiatives

FY 2003



2003 Initiatives

Improve Quality and Performance

- Quality Review Program
- Comprehensive Customer Relationship Management (CRM) System
- Peer-to Peer Program



2003 Initiatives

Timeliness

- E-Government – receive 80% of all applications electronically; deliver information electronically.
- Electronic file management by 2004 – TIS.
- Incentives for achieving improved Production and Customer Service goals.



Planning for the Future

- Create a balance between expectations for service, available resources and fee revenues.
- Uncertainty of filings
- Excess examiner capacity
- Exercise fiscal restraint



Planning for the Future

- Create a workforce and a process that can support variability in filings and requests for service.
- Electronic filing and communication provides service for more customers with greater consistency and reliability.



Committee Discussion

Miles J. Alexander



Meeting the Challenges of the Future

- The Director with the T-PAC shall develop a 5 year strategic plan that sets forth the goals and methods to:
 1. Enhance [patent] and trademark quality;
 2. Reduce [patent] and trademark pendency; and
 3. Develop and implement an effective electronic system for use by the USPTO and the public for all aspects of the [patent] and trademark processes.



Meeting the Challenges of the Future

- The Committee directs the Secretary to develop a 5 year strategic plan that sets forth goals and measurable objectives to:
 1. Prepare the agency to handle the workload of the 21st century economy;
 2. Improve patent quality; and
 3. Reduce [patent] and trademark pendency.



Meeting the Challenges of the Future

- Is the Office response for achieving quality and timeliness appropriate?



Meeting the Challenges of the Future

- If filings drop below 300,000 classes in FY 2002, what actions would you favor?



Meeting the Challenges of the Future

- Does your firm file trademark applications electronically?
- If not, what actions can the Office take to convince you to file electronically?



Meeting the Challenges of the Future

- What approaches should the Office use to gain support for electronic filing?



Public Meeting

Miles J. Alexander



Trademark Report

Anne H. Chasser



Trademark Overview

FY 2002



2002 Strategies

- **Problem Resolution** – create a process to ensure problems are resolved; minimize reoccurrence.
- **Quality** – establish a balanced measure
- **E-government** – gain support for electronic communication and filing.



2002 Goals

Quality – 95% “error free”

Timeliness – 3 month first action
– 15.5 month disposal/registration

Receive 50% of applications electronically
72% Customer Satisfaction Rating



2002 Workloads

300,000 Classes – Applications for Registration

312,400 – Examiner First Actions

123,000 – Classes Registered

219,000 – Office Disposals



2002 T-PAC Members



USPTO Financial Report FY 2002

Clarence C. Crawford



Fiscal Year 2002 Budget Update



Overview of FY 2002 President's Request

- Requested \$1,139 million, an increase of \$100 million or 10% over FY 2001 enacted.
- Maintained FY 2001 staff levels in FY 2002. Included 2,800 Patent Examiners and 393 Trademark Attorneys on board in FY 2002.



FY 2002 Budget Request

Summary of Changes (\$ in thousands)

	Permanent Positions	FTE	Amount	
2001 Base	7,684	7,449	\$1,038,732	
Adjustments-to-Base (ATBs)				
▪ FTP and FTE Adjustment	(700)	(700)	--	Realign FTP to FTE and USPTO needs
▪ Inflationary cost increases	--	--	18,592	Inflationary increases for contracts and other non-discretionary items
▪ Pay adjustments	--	--	25,228	FY 2002 pay raise, cost of FY 2001 locality pay adjustments, and full-year cost in FY 2002 of staff hired in FY 2001
Total ATBs	(700)	(700)	\$43,820	
Program Increases:				
<u>Patent Business</u>				
▪ Special Pay Program	--	--	32,534	Provides special pay rates to enable recruitment and retention of patent professional. Under an agreement with the patent examiner's union, and in return for increased salary rates, U.S. patent paper search files will be reduced by 75 percent over three years.
▪ AIPA Implementation	--	--	17,715	Provides funds to implement a provision of the American Inventors Protection Act of 1999 which requires publication of patent applications 18 months after filing unless the applicant requests otherwise upon filing and certifies that the invention has not and will not be subject of an application filed in a foreign country.
<u>Trademark Business</u>				
▪ Trademark Production Incentive Award.	--	--	6,200	Provide a financial incentive for higher workload productivity in the Trademark business.
Total Program Increases	--	--	\$56,449	
FY 2002 Request	6,984	6,749	\$1,139,001	



Revised FY 2002 Fee Collections (\$ in millions)

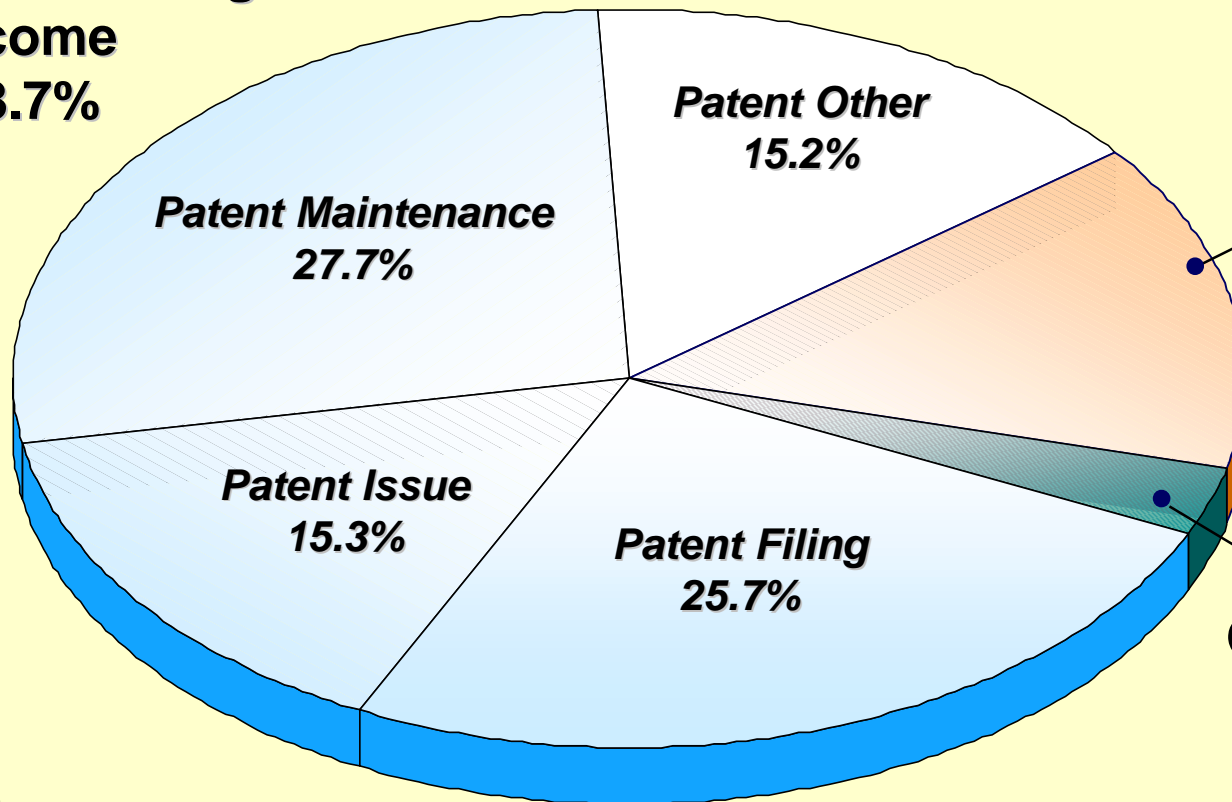
	<u>Patents</u>	<u>Trademarks</u>	<u>Total</u>
Projected Fee Collections – From 2002 President's Budget	\$1,095	\$251	\$1,346
Application filing levels	367,800	540,000	
<u>Adjustments</u>			
PG-Pub Revised Estimates	-\$64	-0-	-\$64
Revised Workload Estimates	+\$4	-\$88	-\$84
Total FY 2002 Change	-\$60	-\$88	-\$148
Revised Fee Income	\$1,035	\$163	\$1,198
Revised Application filing levels	367,800	300,000	



FY 2002 Fee Collections By Type

**Patent Processing
Income
68.7%**

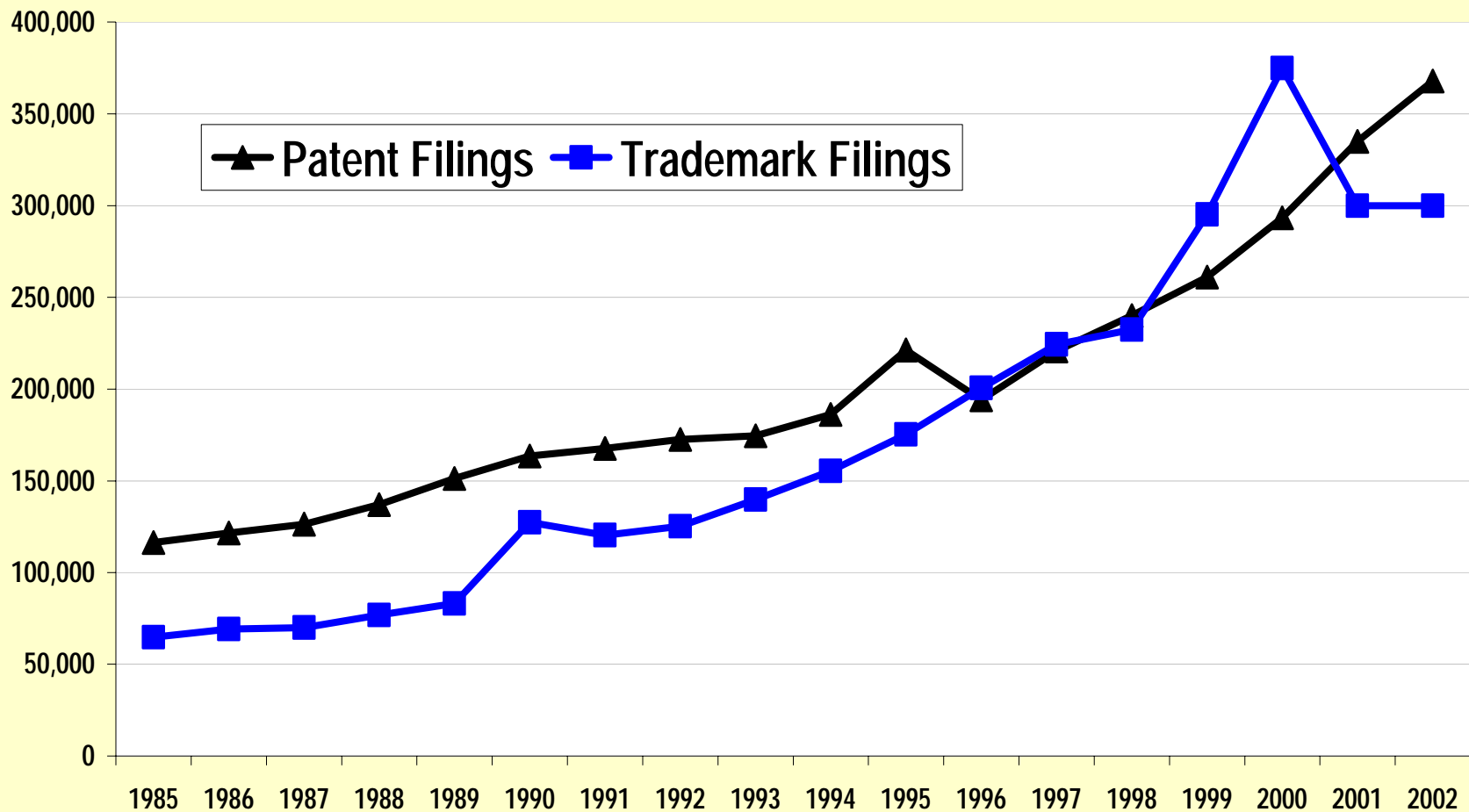
**Trademark
Processing
Income
13.0%**



Total: \$1,197.9M

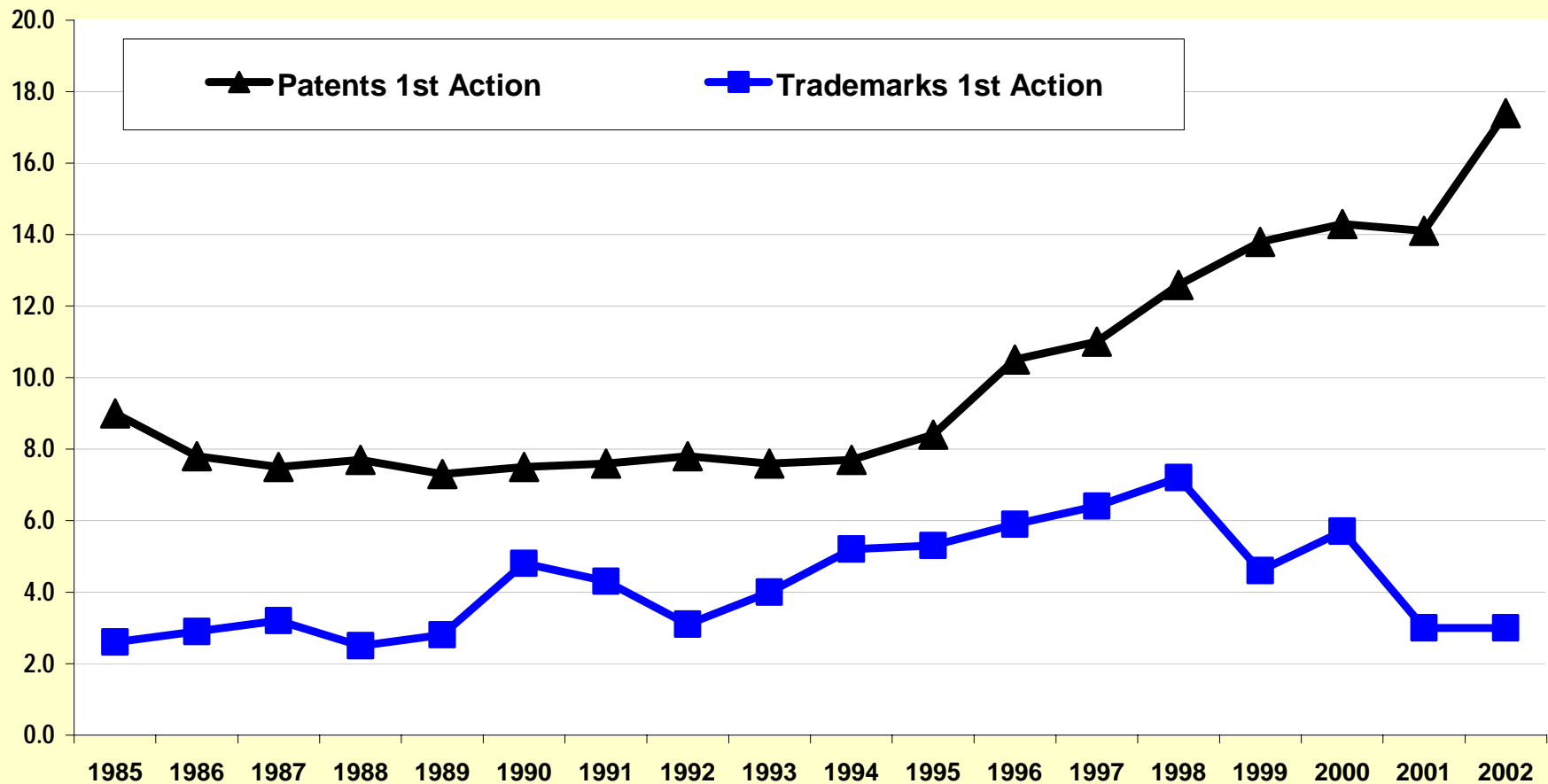


Workload



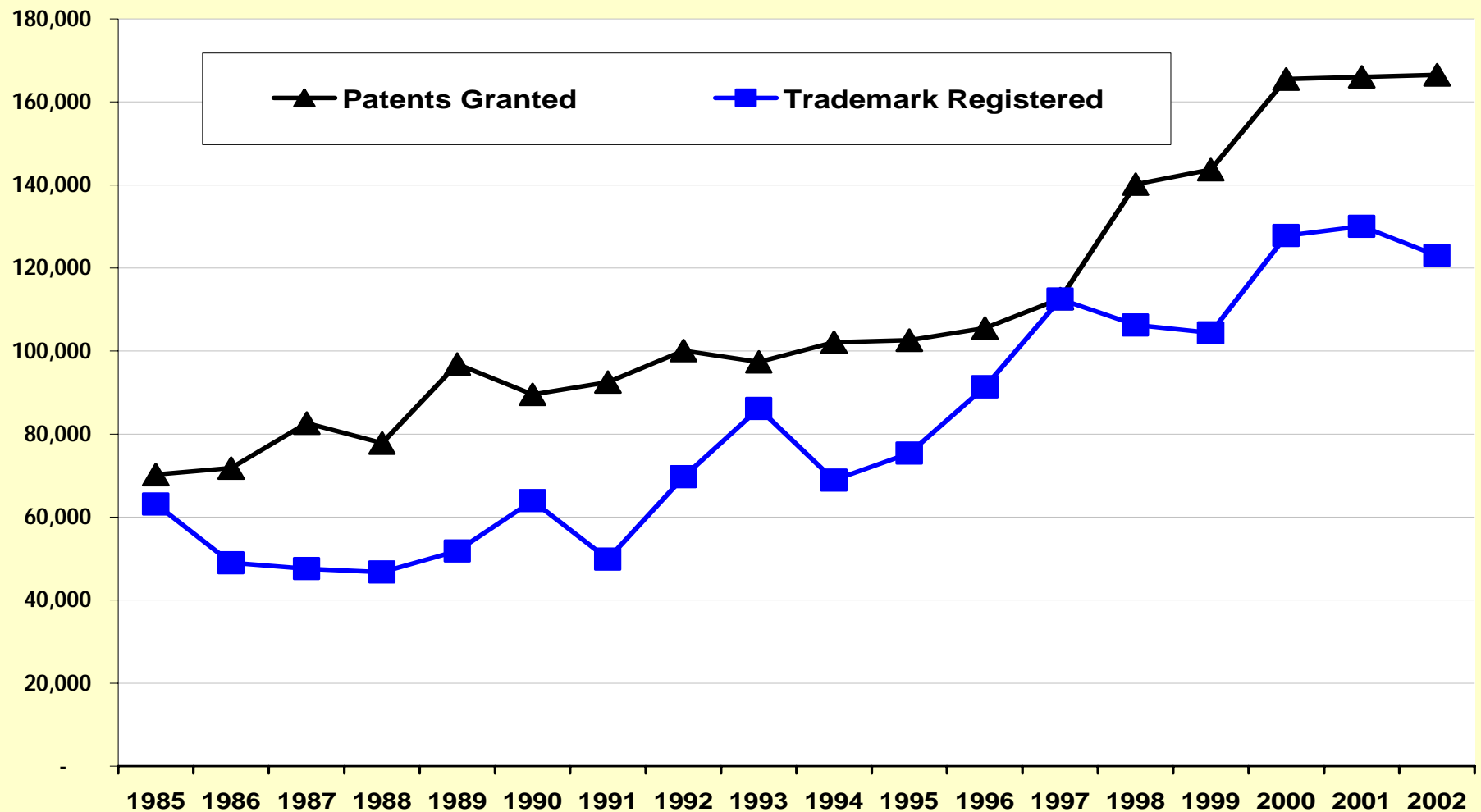


Pendency (in months)





Grants & Registrations





Legislative Actions to Date

(\$ in millions)

FY 2002	<u>Request</u>	<u>House</u>	<u>Senate</u>	<u>Current Estimate</u>
USPTO Fee Collections	\$ 1,346	\$ 1,346	\$ 1,346	\$ 1,198
Available for current year spending	\$ (857)	\$ (847)	\$ (857)	\$ (857)
Unavailable from current year	\$ (489)	\$ (499)	\$ (489)	\$ (341)
Rescissions/Other	\$ -	\$ -	\$ -	\$ -
	\$ -	\$ -	\$ -	\$ -
Funding from current year collections	\$ 857	\$ 847	\$ 857	\$ 857
Carryover from 2001	\$ 277	\$ 277	\$ 277	\$ 277
Carryover from 2000	\$ 5	\$ 5	\$ 5	\$ 5
Unobligated Balances - In Report Language		\$ 10		
Total Available Resources	\$ 1,139	\$ 1,139	\$ 1,139	\$ 1,139



Current Environment

- Operating under a continuing resolution (CR) through October 16th which prorates FY 2001 funding levels through CR period.
- Expect an extension of the CR through the end of October
- **Passage of FY 2002 Appropriation**
 - Anticipate 4.6% versus 3.6% pay raise in passage of FY 2002 appropriation with no additional funding provided; =\$4.3M which must be funded from base
 - Anticipate possible recissions to fund anti-terrorist war
 - Conference may pass House versus Senate language; =\$10M which must be funded from base



USPTO Strategy

- Scrubbed FY 2001 and FY 2002 budget base to identify savings; = \$38M
- Identified surplus resulting from FY 2001 hiring freeze; = \$38M
- Use funds identified from above actions to:
 - Fund identified shortfalls resulting from lower patent examiner attrition = \$10M
 - Fund increased pay raise amount = \$4M
 - Fund other identified shortfalls (e.g., contracts) - \$9M
 - Accelerate hiring of patent examiners in FY 2001 (160 examiners) and 2002 (hire 750 new examiners, net 458) consistent with the 2003 Business Plan = \$35M
 - Accelerate Patents' and Trademarks e-gov initiatives = \$18M



Trademark Operations

Bob Anderson



Trademark Workloads

Filings, Actions and Disposals			
Measure	FY 01	FY 02 Estimate	FY 03 Estimate
Applications for Registration	297,000*	300,000	330,000
Annual Increase/Decrease in Applications	-20%*	0%	10%
Examiner First Actions	451,957	312,400	323,800
Trademarks Registered and Abandoned Office Disposals	267,475	219,000	240,500

Workloads include total classes. * Estimated



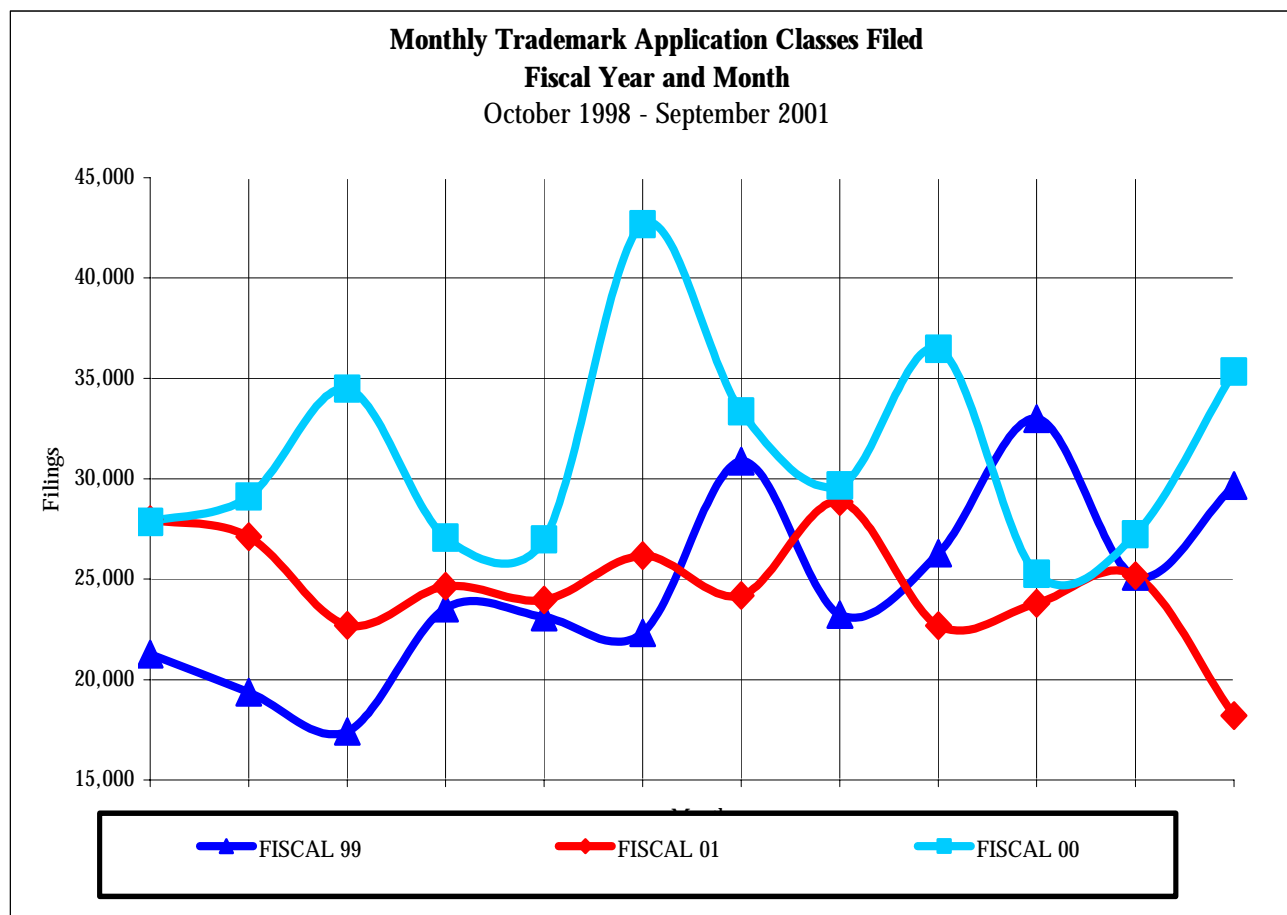
Timeliness Performance Scores

Minimize Processing Time

Measure	FY 01 Actual	FY 02 Targets	FY 03 Targets
Pendency to First Action	2.7	3.0	2.5
Pendency to Registration	17.8	15.5	13.5
Percentage of applications meeting 13 month pendency goal	40.5%	50%	70%
# days to mail filing receipts - Paper	18	14	14
# days to mail filing receipts - e-TEAS	1	1	1

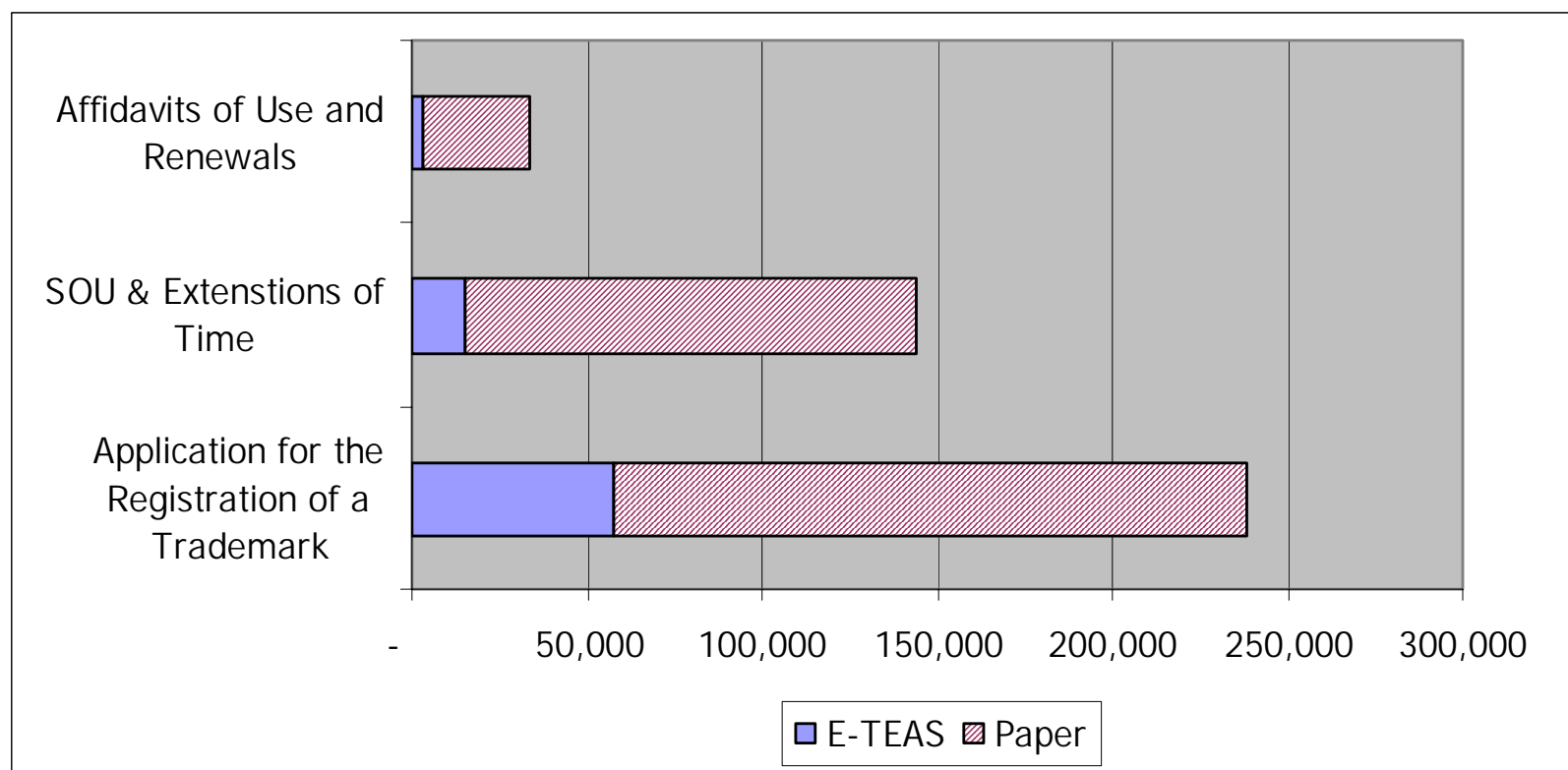


Application Filings





Trademark Electronic Filing



Electronic versus paper filed applications.



E-Government Performance

Implement e-Government

Measure	FY 01 Targets	FY 01 Actual	FY 03 Targets
Applications filed Electronically for the Registration of a Trademark	30%	24%	80%
Examiners Telecommuting	90	89	110



Trademark Staffing

FY 2001 – FY2002 – FY 2003

	FY 01	FY 02	FY 03
Position Staffing:	Actual	Target	Target
Trademark Organization	730	708	711
Examining Attorneys	389	353	321
Technical Support Staff	230	242	277
Law Office and Services			
Policy and Management	111	113	113
Contractor Positions	155	140	128



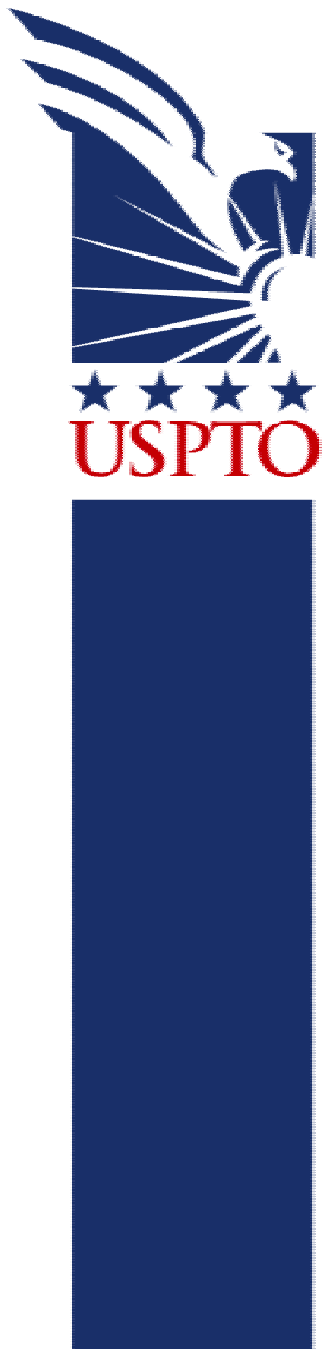
Examiner Production

	FY 2000	EOY 2001
Examiners - EOY	383	389
First Actions per Examiner	920	1162
Average Action Points per	1746	2092
Actions per Hour	1.23	1.28
First Actions - Total	352,325	451,975
Action Points - Total	668,708	813,734
Examining Hours	543,372	635,053



Customer Survey Results

Mary Lee



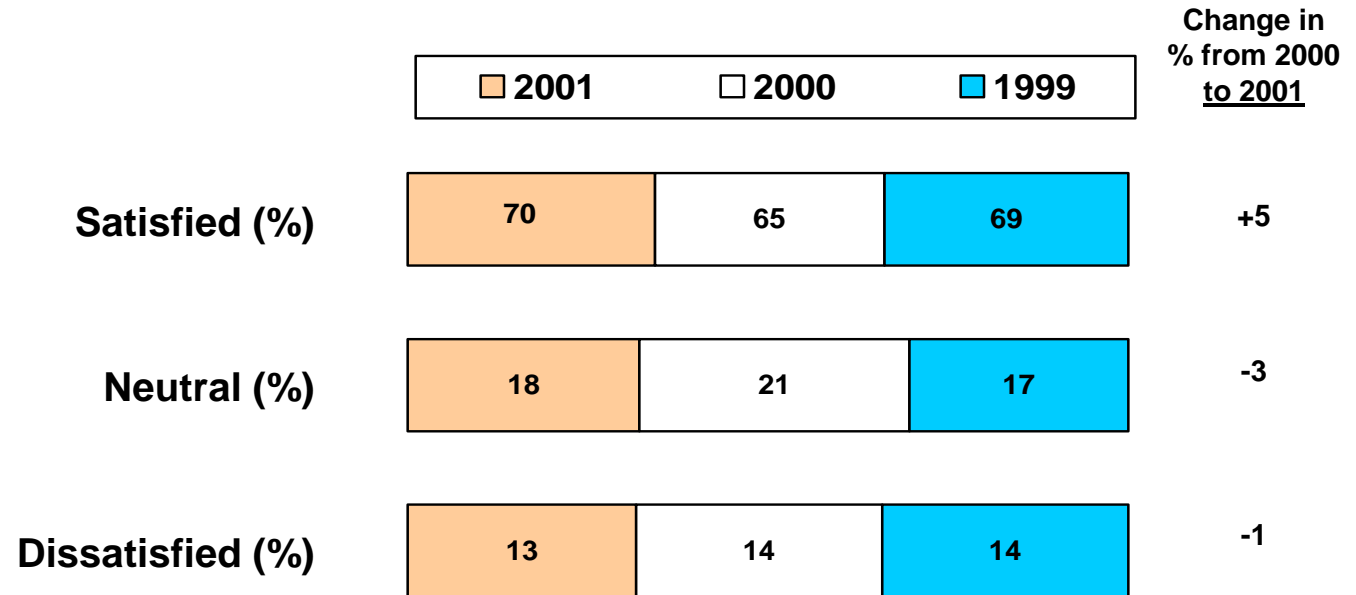
2001 Trademark Customer Satisfaction Survey

Prepared for T-PAC
October, 2001



Overall Question - Overall Satisfaction

C13. Considering all of your experiences with the USPTO trademark process, how satisfied are you OVERALL?



Overall satisfaction improved by an impressive 5 percentage points from 2000 to 2001 and dissatisfaction dropped to a low of 13%.



Key Drivers of Overall Satisfaction

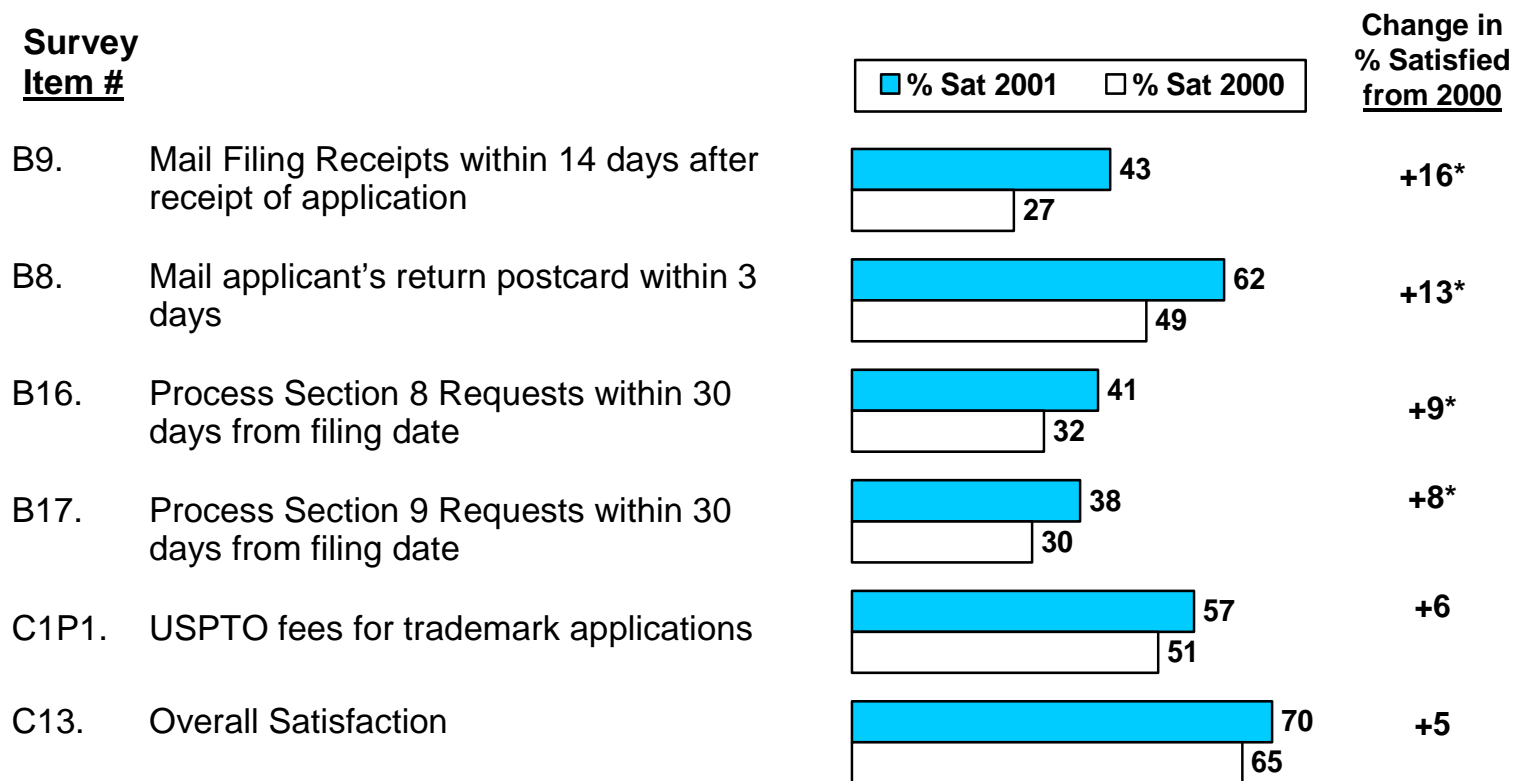
<u>Service Standards</u>	<u>Difference in %</u>	
	<u>Satisfied</u>	<u>Satisfied from 2000</u>
B2. Direct you promptly to the proper office or person	72%	+4
B3. Return telephone calls within one business day	55%	+2
B4. Clear written position of examining attorneys	79%	+2
B7. Provide final determination regarding registrability within 19 months	64%	*
B13. Respond to Amendments within 35 days from filing date	40%	+3
B14. Process Statements of Use within 30 days from filing date	40%	+2
B22. Issue Notices of Abandonment with the correct information	68%	-2
B23. Resolve problems in processing of applications or registrations within 7 days of notification	33%	+1

* Question wording changed in 2001 and is not comparable.

Telephone services and selected time standards have satisfaction levels below 60% and are priority areas for improving overall satisfaction.



Improvements from 2000 (5 percentage points or more) Ranked by Change in % Satisfied

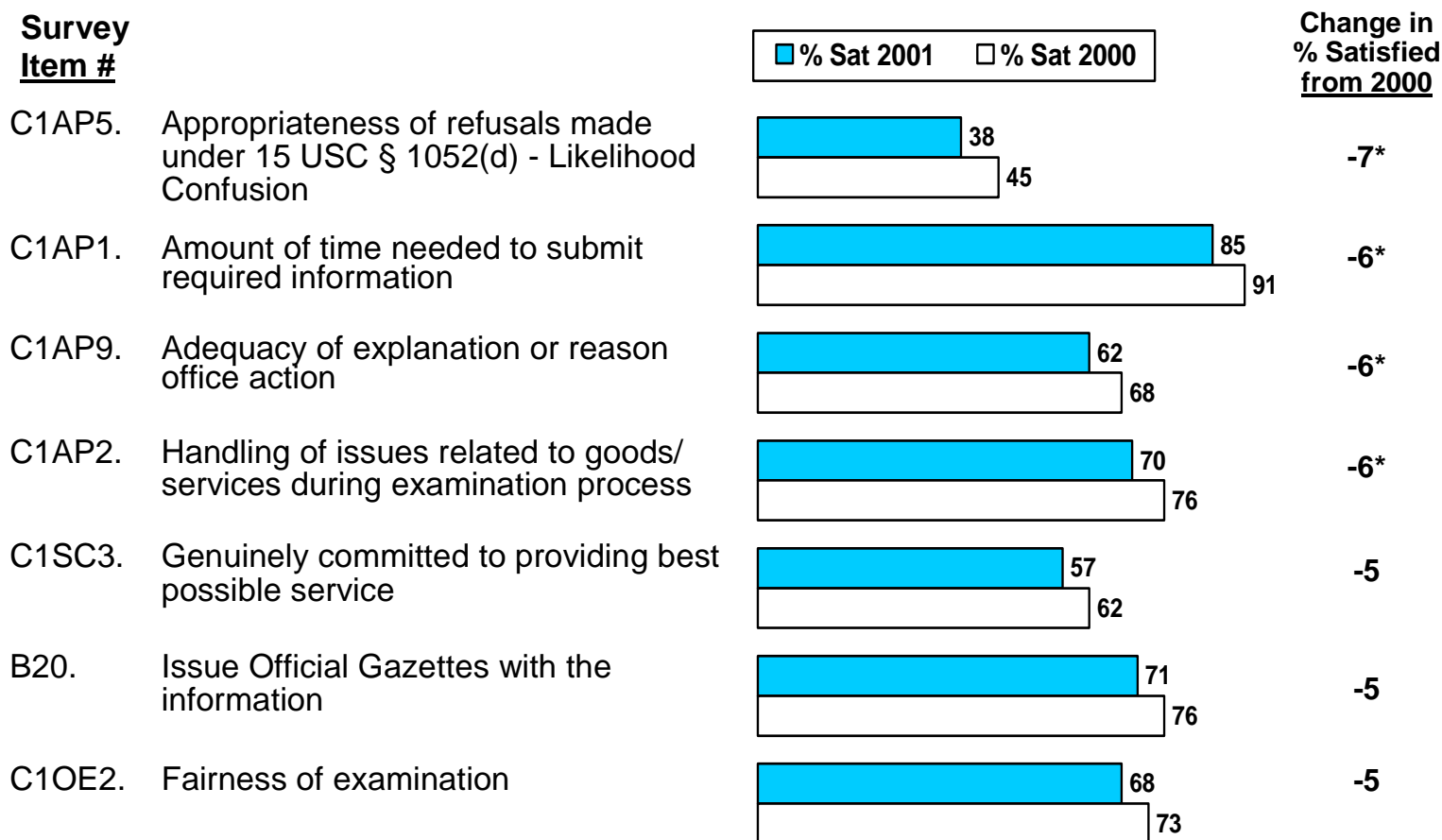


* Change in percent satisfied from 2000 to 2001 is statistically significant.

Items with significant improvements in satisfaction from 2000 include timeliness of filing receipts, return postcard, and Section 8 and 9 requests. Notably, overall satisfaction improved by 5 percentage points.



Declines from 2000 (5 percentage points or more) Ranked by Change in % Satisfied

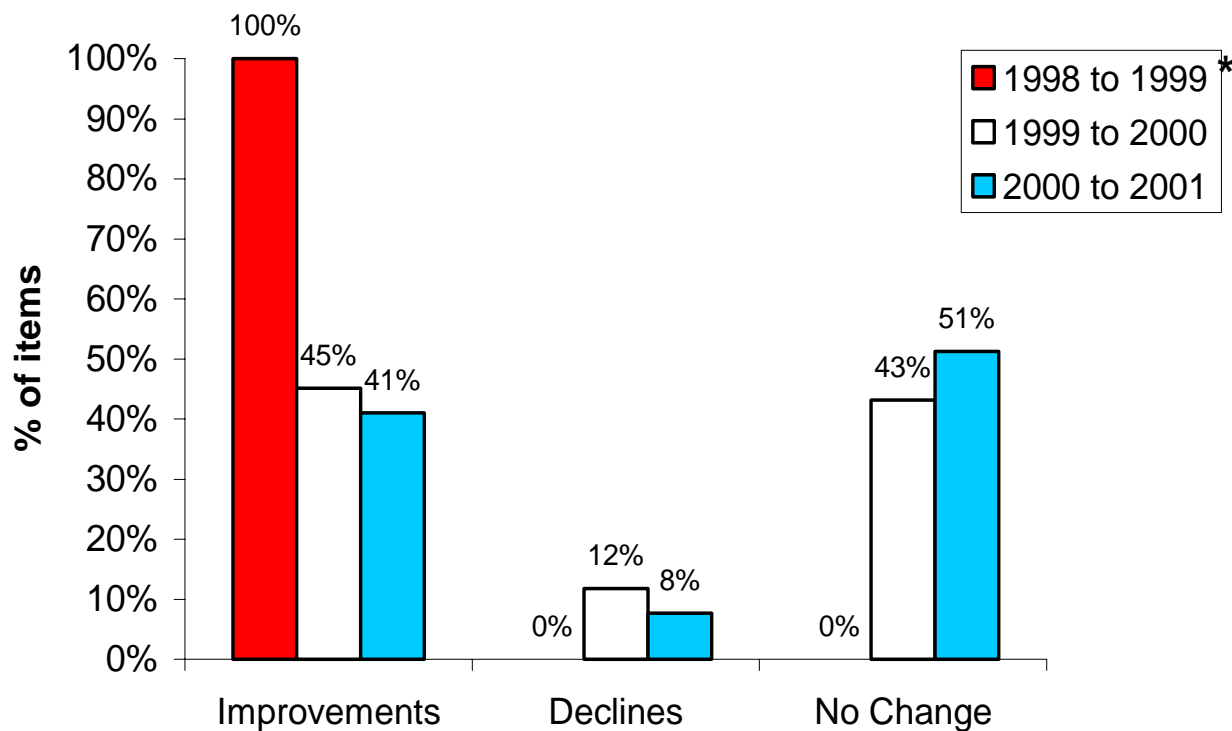


* Change in percent satisfied from 2000 to 2001 is statistically significant.

Survey items with significant declines in satisfaction from 2000 include appropriateness of refusals under 1052(d), time needed to submit information, adequacy of explanation for office action, and handling issues related to goods and services.



Trends in Improvements and Declines 1998 to 2001



* Total number of comparable items varies from year to year. From 1998 to 1999, 27 items were comparable. From 1999 to 2000, 51 items were comparable. From 2000 to 2001, 39 items were comparable.

The total percent of comparable items that improved from year to year has been declining since 1999.



Overall Summary - Strengths

- **Customer Service**
 - Courteous service
 - Directing customers promptly to proper office or person
 - Ability to provide accurate answers to questions
- **Document Accuracy**
 - Notices of Allowance, Official Gazettes, Certificates of Registration, and Notices of Abandonment with the correct information
- **Timeliness Issues**
 - Mail applicant's return postcard
 - Provide first action
 - Provide final determination
- **Application and Fees**
 - Widely disseminate information on changes prior to effective date
 - Amount of time to submit required information
- **Examination Quality**
 - Clearly written technical, procedural, and legal positions of examining attorneys
 - Handling of issues related to goods and services
 - Use of telephone to deal with examination issues
 - Searches performed by examining attorneys under 1052(d)
 - Adequacy of explanation or reason for office action
 - Fairness and outcome of examination



Overall Summary – Improvement Targets

- **Timeliness Issues**
 - Filing receipts with correct information mailed within 14 days
 - Notices of Publication within 30 days
 - Respond to Amendments within 35 days
 - Process Statements of Use within 30 days
 - Process Extension Requests within 30 days
 - Process Section 8 Requests within 30 days Application and Fees
- **Examination Quality**
 - Appropriateness of refusals under 1052(d)
 - Appropriateness of refusals under 1052(e)
 - Office applies standard for 1052(d) with sufficient consistency
 - Office applies standard for 1052(e) with sufficient consistency
 - Sufficiency of evidence for the office action
 - Efficiency of the examination process
- **Problem Resolution**
 - Resolving problems within 7 days
 - Time required to correct problem
 - Handling of mistakes
- **Customer Service**
 - Returning telephone calls within one business day



Trademark Examination Policy

Lynne Beresford



Office of Trademark Quality Review (OTQR) Results: End of 3rd Quarter

- Total “clear errors” were at 4.8% (excluding section 2(d)).
- Missed references error rate was 2.7%.
- The percentage of missed references has nearly doubled from the 1.4% reported in the first quarter.
- At mid year “clear errors” were at 4.2%.



Quality Performance Scores

Enhance the Quality of Our Products

Measure	FY 01 Targets	FY 01 Actual	FY 02 Targets
Percent error rate for errors that could affect the registrability of a mark	3.0%	4.8%*	3.0%
Percent of customers reporting satisfaction with clear written communication	77%	79%	80%
Percent of customers reporting satisfaction with correct information in the OG	77%	71%	80%
Percent of Trademark customers satisfied overall	65%	70%	70%
Percent of customers satisfied with the Office returning phone calls within one day	67%	55%	70%



Quality Issues Identified in Survey

- Solving problems and correcting mistakes takes too long
- Appropriateness and consistency of section 2(d) and 2(e) refusals



Quality Initiatives

Quality review memo on a disclaimer practice being prepared. Memo on service mark specimens has been distributed.

■ Preparation of training materials for 2(e)(1) and 2(d) training. Materials have been prepared training will begin in November.

■ Detail for 5 attorneys in the Commissioner's Office to benchmark the excellent first action



More Quality Initiatives

- Work project to revise and update form paragraphs
- New supervisor in the TAC and plan to add examining attorney and other personnel to begin root cause analysis of TAC problem calls
- Preparation of TM Services Manuals



More Quality Initiatives (2)

- Emphasis on e-filing as a means to improve data quality



Federal Register Notices

- **Mandatory Electronic Filing (closes 10/30)**
- **Develop Plan to Close Paper Search Files (closes 10/29)**
- **International Trademark Classification Changes (published 09/20)**
- **Official Insignia of Native American Tribes (Published 08/28)**



Future Notices

- **Change in Disclaimer Practice**
- **Cancellation Notices**



Petitions to Revive (2.66)

- 8,566 filed (TRAM) plus 300+ papers in 2001
- 5,300 granted, 508 denied
- paralegals are currently working on February filings
- Plan to use examining attorney detailees to work down backlog in the next 6 months



Petitions to C'msr (2.146)

- 432 filed in 2001, 419 have been acted on
- Currently working on August and September filings

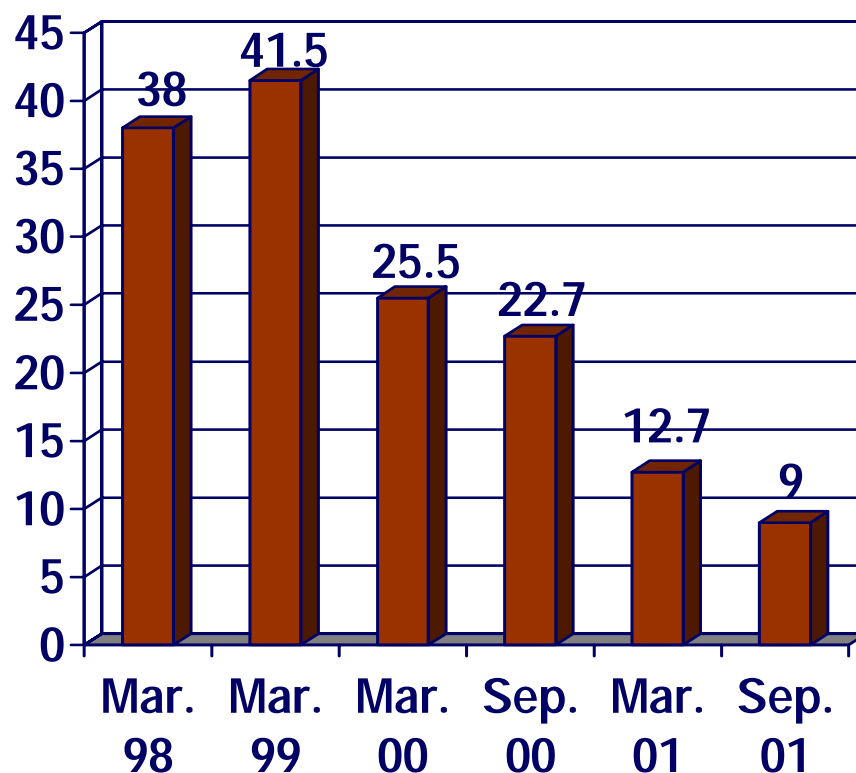


Trademark Trial and Appeal Board

David Sams



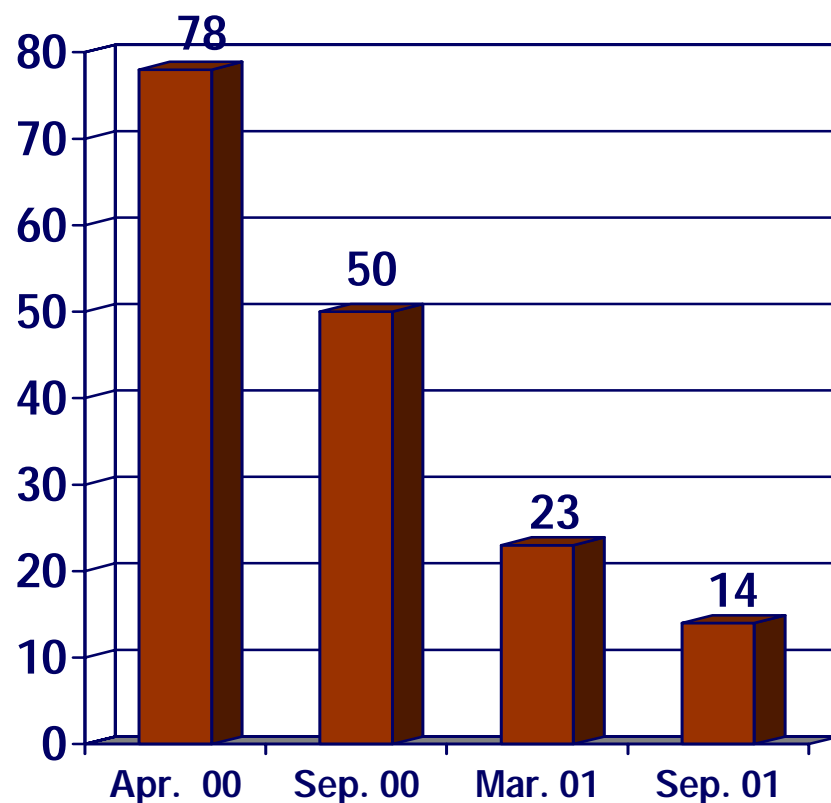
TTAB Pendency Final Decisions



■ No. of weeks
after case is
ready for
decision



TTAB Pendency Summary Judgment Motions

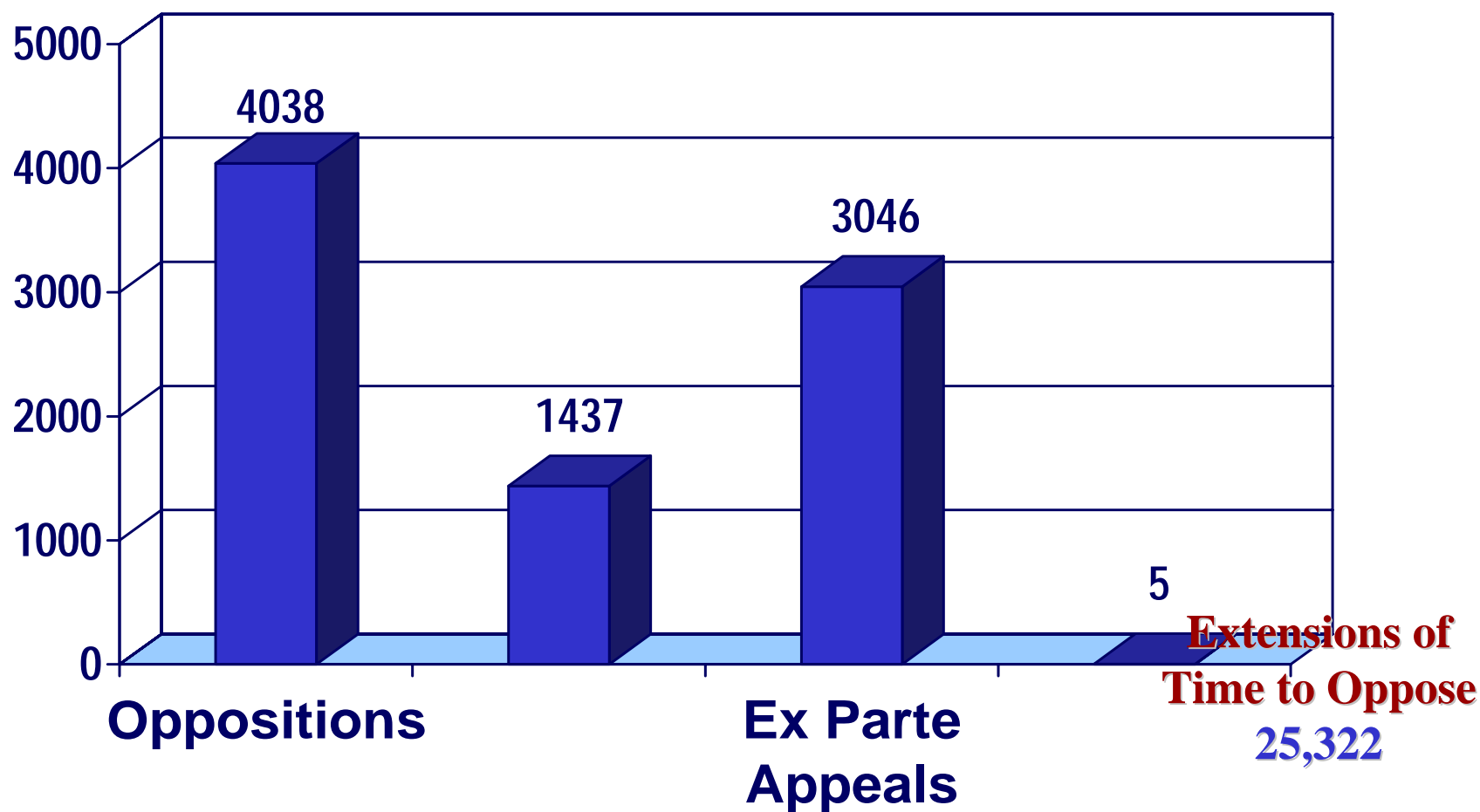


■ No. of weeks
after case is
ready for
decision



TTAB Filings FY 2001

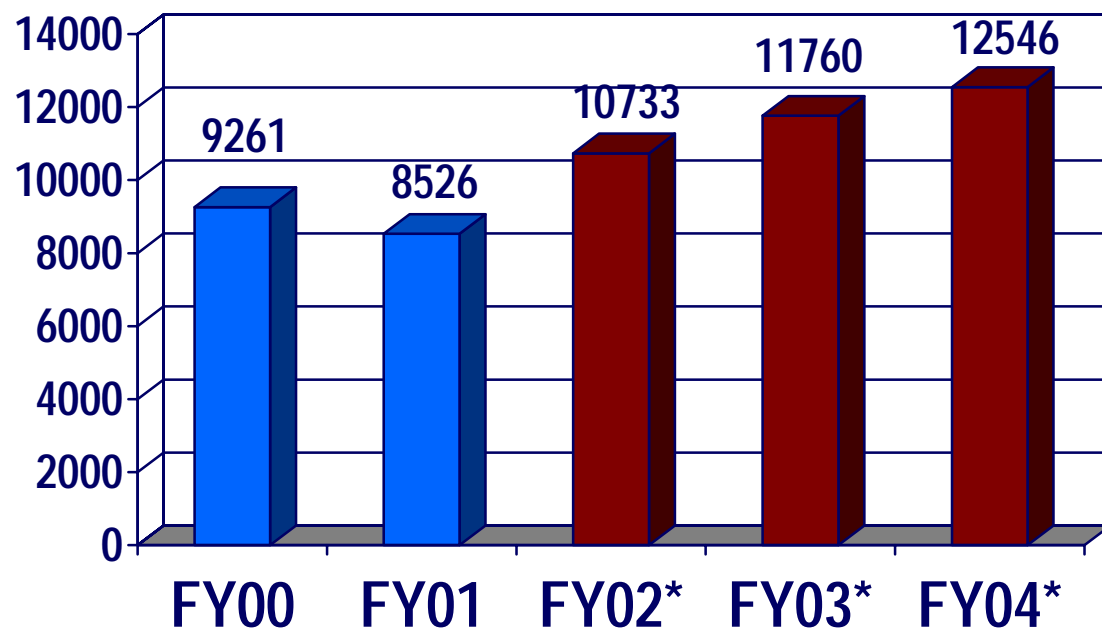
by Type of Proceeding





TTAB Filings

Recent and Projected Filings



* projected filings



TTAB Goals for FY02

- ◆ Final Decisions 12 weeks
- ◆ Summary Judgment Motions 12 weeks
- ◆ Other Contested Motions 12 weeks



TTAB Staffing

- ▶ **Present Staff**
 - ▶ 15 Administrative Trademark Judges
 - ▶ 16 Interlocutory Attorneys
 - ▶ Total Staff on Board - 78
 - ▶ Authorized FTE- 97
- ▶ **Hiring Plans FY02-03**
 - ▶ **FY02-**
 - ▶ Fill existing positions, hire 4 ATJs towards authorized FTE
 - ▶ **FY03**
 - ▶ Hire 5 ATJs, 4 Attorneys, increase total staff to 97 FTE
 - ▶ Requested increase to 108 FTE, adding 4 ATJs and 8 attorneys



E-Commerce at the TTAB

- ▶ *TTABIS*
- ▶ *Work@Home pilot*
 - ▶ Currently 6 judges, 4 attorneys, 2 paralegals
 - ▶ Expansion by FY03 to 27 participants
- ▶ *BISX on the Web*
 - ▶ TTAB status available over the internet
- ▶ Electronic filing
 - ▶ Planned for 2002



TTABIS

- ▶ **Allows complete electronic processing of files**
- ▶ **Captures incoming papers at time of delivery**
- ▶ **Decreases lost and mismatched papers**
- ▶ **Minimizes file movement**
- ▶ **Will allow TTAB file access by public**

TTABIS Processing as of *October 12, 2001*

Oldest unprocessed
Extension of time
Opposition or
Cancellation **9-28-01**

Oldest electronic
document awaiting
processing **9-15-01**



2001 T-PAC Report

Miles J. Alexander



T-PAC Report

The Committee shall prepare a annual report within 60 days after the end of the fiscal year.

The Committee shall review the policies, goals, performance, budget and user fees of the USPTO.



Thank You